



What happens when LEA reports on Program Self-Check program requirements?

Programs Included on ESSA Consolidated Compliance Reports

- Title I, Part A
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Purpose of ESSA Consolidated Compliance Reports

- Data collection tool on data reported to USDE
 - Program participation
 - Expenditure Data
- Program Self-Check
 - LEAs self-reports compliance on program requirements
 - In Compliance
 - Lists Strongest Recommended Documentation
 - Not In Compliance
 - LEA describes compliance plan for the following program year
 - Not applicable (only available for applicable requirements)

What is meant by **In Compliance**?

- Reports lists “Strongest Documentation Recommended”
- LEA may have other documentation that potentially might show compliance
 - The LEA may have other documentation that potentially might show compliance. In the event of an audit, TEA or audit staff would make the final determination concerning whether the documentation is sufficient to demonstrate compliance with the program requirement.

What is meant by **Not In Compliance**?



ESSA Consolidated Compliance Reports



What happens if LEA reports Not In Compliance?

July to September

LEA reports "Not in Compliance" for a program(s)

End of September

Compliance Reports due on eGrants

October

TEA will identify LEAs that reported "Not in Compliance" in one or more programs

October

Provide data to Federal to L75 13.1enll56248.18 -72 r

November

TEA sends report to respective ESCs listing LEAs "Not in Compliance" by program

January

TEA will follow-up with LEAs to ensure they are on path of compliance

July to September

LEA submits ESSA Consolidated Compliance Reports for next year.
TEA will confirm "In Compliance" for program(s) the following year

The Texas Education Agency, as a pass-through entity, awards federal grant funds to eligible sub-recipients, including local educational agencies (LEAs), which include both school districts and open-enrollment charter schools, education service centers (ESCs), and non-profits. TEA as a pass-through entity is required under Title 2 of the Code of Federal Regulations (CFR), [2 CFR §200.332\(b\)](#), to evaluate each sub-recipient risk of non-compliance with Federal statutes, regulations, and the terms and conditions of the sub-award for purposes of determining the appropriate sub-recipient monitoring as described in [2 CFR §200.332\(d\)\(e\)](#), which may include the sub-recipients results of previous audits, include Single-Audits in accordance with [2 CFR §200.332\(f\)](#), changes to key personnel, and other factors that may reflect any patterns of non-compliance.

The Federal Compliance Officer in the Department of Grant Compliance and Administration (GCA) manages all GCA [Risk Assessment](#) activities, data, technical assistance, and training.

To comply with this requirement, the **Federal Compliance Officer conducts an annual risk assessment of all sub-recipients to determine and mitigate any potential risk of non-compliance. Based on the outcome of the annual risk assessment, sub-recipients are assigned a risk status of low, medium, or high, which is evaluated annually.**

2024-2025 TEA Risk Indicator

I-13

During the FY22 or FY23 an LEA had Federal Program non-

What is meant by **Not Applicable**?

- Only displays on report when a requirement may have circumstance that is not applicable

Example of Not Applicable?

Not Applicable
applies. No other reason is acceptable.

NOT APPLICABLE

If not applicable, the LEA assures that the following reason a

The LEA only operates Targeted Assistance Program campus

Compliance Reports Timeline

